Mode-I fracture in a nonlinear lattice with viscoelastic forces

Shay I. Heizler and David A. Kessler Department of Physics, Bar-Ilan University, Ramat-Gan, Israel

Herbert Levine

Department of Physics, University of California, San Diego, La Jolla, California 92093-0319 (Received 12 December 2001; published 25 July 2002)

We study mode-I fracture in a viscoelastic lattice model with a nonlinear force law, with a focus on the velocity and linear stability of the steady-state propagating solution. This study is a continuation both of the study of the piecewise-linear model in mode I, and the study of more general nonlinear force laws in mode-III fracture. At small driving, there is a strong dependency of the velocity curve on the dissipation and a strong sensitivity to the smoothness of the force law at large dissipation. At large driving we calculate, via a linear stability analysis, the critical velocity for the onset of instability as a function of the smoothness, the dissipation and the ratio of lattice spacing to critical extension. This critical velocity is seen to be very sensitive to these parameters. We confirm our calculations via direct numerical simulations of the initial value problem.

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I. INTRODUCTION

The problem of extensional (mode-I) fracture has received increasing attention in the physics community in the last decade [1]. The experiments in amorphous materials done by Fineberg et al. [2] and in ordered materials by Hauch et al. [3], showing interesting dynamical behavior for large velocity cracks have been at the center of this growing interest. From a theoretical point of view, the singularities at the crack tip associated with continuum treatments of the crack make the problem challenging. The presence of these singularities necessitates a treatment of the crack tip at the microscopic level, the singularities being regularized by the small scale dynamics. One line of attack on this problem, initiated by Slepyan [4], has been through the study of lattice models of cracks. These lattice models are simpler than full atomistic simulations [5] in that the connectivity of the atoms is specified from the beginning, and so dislocations are excluded.

Much progress has been made in understanding steadystate propagation of cracks in lattice systems using an ideally brittle piecewise-linear force law [4,6-15]. Here the particles interact with Hookean springs, which break at some critical extension, after which they exert no force. With these piecewise-linear interactions, the model admits an analytic solution via the Wiener-Hopf technique. This solution has been carried out both for mode-III and mode-I cracks, for both finite width and infinitely wide systems, with and without dissipation (Stokes or Kelvin type). There have also been some recent results on mode-II cracks, with possible relevance for an understanding of friction [15]

The piecewise-linear model, despite its analytic simplicity, exhibits some undesirable features [10]. For small dissipation, the solutions are inconsistent at small velocities, as bonds on the crack surface which are assumed to crack at some specific time in fact are seen to reach the critical displacement and hence crack earlier. At large velocities, the analytic solutions are again inconsistent, this time due to the breaking of additional bonds off the nominal crack surface.

Beyond this point, the analytic methods are unable to tell us anything about the true dynamics of the system. An additional limitation of the piecewise-linear force law is that it greatly complicates the task of constructing a linear stability treatment of the steady-state crack. This is due of course to the discontinuous nature of the force law.

For the case of mode-III fracture, Kessler and Levine [16] studied a lattice model with a family of continuous nonlinear force laws labeled by a tunable smoothness parameter α . Such continuous forces should presumably more closely model the actual physical situation. With this lattice model, they investigated both arrested cracks [17] and propagating cracks [16]. Consistent steady-state solutions were found at all driving; the previously discovered inconsistent solutions at large driving of the piecewise-linear limit were converted to consistent but linearly unstable solutions for the continuous force law.

In this paper we will study mode-I fracture with a suitable adaptation to vector forces of this class of continuous force law. We shall study steady-state solutions of the model both for small and large driving, and their dependence on the dissipation, the critical bond extension (relative to the lattice constant), and smoothness. Our results will be compared with both the piecewise-linear limit mode-I calculations [10] and the continuous force mode-III analysis [16]. We shall also study the instabilities of the theory at large driving, finding a number of transitions between dominant modes as a function of dissipation; these findings will be confirmed by direct numerical simulations. We will also briefly consider the nature of the dynamics, postinstability. Finally, we shall draw some conclusions and discuss directions for further research.

II. MODEL AND GENERAL METHODOLOGY

In this paper we study extensional (mode-I) cracks in a nonlinear, two dimension hexagonal lattice model. Mass points located at the lattice sites are coupled to their six nearest neighbors by nonlinear, viscoelastic central forces.

The force that particle two exerts on particle one is taken to be

$$\vec{f}_{1,2} = (r_{1,2} - a) \frac{1 + \tanh[\alpha(\varepsilon - r_{1,2})]}{1 + \tanh(\alpha)} \hat{r}_{1,2}, \qquad (1)$$

where a is the lattice scale, $\vec{r}_{1,2} = \vec{x}_2 - \vec{x}_1, r_{1,2} = |\vec{r}_{1,2}|$ is the distance between the atoms and $\hat{r}_{1,2} = \vec{r}_{1,2}/r_{1,2}$. The threshold ε is the distance between the atoms at which the spring can be considered to break. α is a parameter that determines the smoothness of the potential. In the limit $\alpha \rightarrow \infty$ the force becomes perfectly brittle, dropping immediately to 0 as $r_{1,2}$ exceeds ε . Decreasing α smoothes the force, so that it decays away over a distance $1/\alpha$. Now the bonds never crack totally, there is always some (exponentially small) force, even at large $r_{1,2}$. Note that, compared with the similar force law studied at mode III [16], this model has an additional parameter, namely, a/ε the ratio of the lattice constant to the breaking extension; this parameter governs the extent to which the force direction changes as the displacement grows. Only in the joint limit $\alpha \rightarrow \infty$, $a/\epsilon \rightarrow \infty$ do we recover the piecewise-linear force law studied analytically for mode-I fracture by Kulamekhtova, Saraikin, and Slepyan [6], Marder and Gross [7] and Pechenik, Kessler, and Levine [10], and simulationally by Pla *et al.* [11,12] and Martin et al. [13]. In the following we choose our length scale such that $\varepsilon = a + 1$.

In addition to the purely conservative force, we introduce a Kelvin-type viscosity with a viscosity parameter η with the force law [8–10,16,18],

$$\vec{g}_{1,2} = \eta k_{1,2} (\vec{v}_{1,2} \cdot \hat{r}_{1,2}) \hat{r}_{1,2}$$
(2)

where $\vec{v}_{1,2} = \vec{v}_1 - \vec{v}_2$ and $k_{1,2}$ is an effective spring constant,

$$k_{1,2} = f_{1,2} / (r_{1,2} - a). \tag{3}$$

These forces define our model. We can now write the equation of motion for the displacement \vec{u} of a mass point away from its lattice position:

$$\frac{d^2 \vec{u}(\vec{x})}{dt^2} = \sum_{\vec{x}' \in nn} (\vec{f}_{\vec{x},\vec{x}'} + \vec{g}_{\vec{x},\vec{x}'}).$$
(4)

We work on a hexagonal lattice with 2N+2 rows in the y direction, indexed by $j = -N, \ldots, N+1$. The rows are separated by a distance $a\sqrt{3}/2$, so that $y_j = (2j-1)a\sqrt{3}/4$. We apply a constant displacement $\vec{u} = \pm \Delta \hat{y}$ to the topmost and bottommost rows. The (metastable) uncracked state is that of uniform strain

$$\vec{u}^{U}(x, y_{j}) = \frac{2j - 1}{2N + 1} \Delta \hat{y}.$$
 (5)

In the equilibrium cracked state, the upper half of the rows have $\vec{u} = +\Delta \hat{y}$, and the lower half $\vec{u} = -\Delta \hat{y}$.

Initially, we will be interested in the case of steady-state cracks where the displacement has the Slepyan form:

u(t,x,y) = u(t-x/v,y) where x,y label the position of a particle on the lattice, and v is the crack propagation speed [4,6]. This ansatz reduces the problem to one of solving for the time development of the displacement for just 2N particles, i.e., one particle on each of the unconstrained rows. In addition, we focus on symmetric cracks so we need to consider just one side of the lattice, imposing the symmetry condition: $u_y(t,y) = -u_y[t-a/(2v), -y]$ and $u_x(t,y) = u_x[t-a/(2v), -y]$.

As opposed to the previous analyses done in the piecewise-linear limit $(\alpha, a \rightarrow \infty)$ [10], here with finite α, a we must resort to a numerical procedure [16]. Specifically, we discretize time using a small but finite dt, and further limit $-T \le t \le T$. This reduces the problem to a set of 2N(2T+1) coupled equations. Since the equations of motion are dependent only on nearest neighbors, the system has a banded structure. The equations of motions are nonlinear so we use Newton's method to solve the problem, using standard subroutines for solving banded matrices to find the Newton update. Now, for each given v of the crack, we want to find the driving displacement Δ . We have 2N(2T+1)+1 unknowns (the N vector functions for the entire time range plus Δ); this is one more than the number of equations, reflecting the time-translation invariance of the sought-after solution. Thus we add an additional equation fixing $u_{v=\sqrt{3}/4}(0) = \text{const}$ to lift the degeneracy. Let us note that it can be shown that the discretized problem has one more linear mode that diverges as $x \rightarrow -\infty$ than diverges at x $\rightarrow \infty$. To treat this, we enforce the equation of motion for $-T - dt \le t \le T - dt$ whereas the variable run from $-T \le t$ $\leq T$ [8]. The system then has $2N(n_b+3)-2$ bands below the diagonal and $2N(n_b-1)+3$ upper bands, where n_b is a even number with $v = a/(n_b dt)$.

The only remaining technical issue is that the system as presented does not have a completely banded structure due to the fact that many equations depend on Δ . This problem can be circumvented using the algorithm of Kessler and Levine (see the Appendix of Ref. [16]).

III. THE SMALL VELOCITY REGIME

It is reasonable to expect that smoothing out the potential can have a large effect in the small velocity regime. In particular, the fact that solutions in the piecewise-linear limit become inconsistent at small enough velocity means that making α finite must make a significant difference. For mode III [16], decreasing α eliminated the oscillations in the $v(\Delta)$ curve, in accord with this reasoning; but, the oscillations were already not present at large enough η and thus changing α has almost no effect on those steady-state curves.

Here for mode I we find a somewhat different picture. First we present in Figs. 1(a)-1(c) a graph of v versus Δ for the steady-state solution. v is normalized with respect to the Rayleigh surface wave speed, the limiting crack speed (in the $N \rightarrow \infty$ limit) as the elastic field has to propagate along the crack surface: $C_R = (3 - \sqrt{3})^{1/2} a/2 \approx 0.563a$. Δ is normalized by the Griffith value, Δ_G , the value for which fracture is energetically allowed. This value is a function of α , a, and



FIG. 1. (a)–(c) Dependence of v/c_R on Δ/Δ_G for $\alpha = 5$, 15, and 100, for $\eta = 0.25$, 1, and 3. N=3, dt=0.1, and a=4. In (c) we present data also for $\alpha = 8$.

of course *N*. Data is presented for N=3 because computing the very low velocity solutions is very hard at large *N*, due to the bandwidth that scales as N/v. We do not expect the picture at larger *N* to be qualitatively different [10].

At small η the curves have oscillations for all α , unlike the mode-III case, where oscillations were present only for large α . At large α , in both cases the graphs are qualitatively similar to those obtained directly in the piecewise-linear limit (PLL), though the large α curves do not actually converge to those curves. This is due to the aforementioned fact that the solutions of the PLL are actually inconsistent for small velocity whereas the solutions of the finite α model are always well defined [7,10,16]. As we increase η the oscillations disappear and the curves become smoother. This behavior, as can be seen in the $\eta = 1$ data [Fig. 1(b)], happens for all α , but is especially pronounced for the smaller α 's as expected. These trends are also seen in the mode-III case [16]. As we increase η to still higher values, for example, $\eta = 3$ [Fig. 1(c)], a maximal velocity can be seen at small α . The existence of a maximal velocity in steady-state cracks is a general feature, and will be discussed at length in the following section on the large velocity regime. Here we just note that for large η and small α , the maximal velocity can be quite low, e.g., $v_{max} \approx 0.25C_R$ at $\alpha = 5$, a = 4, $\eta = 3$, and decreases for decreasing α . This behavior has no parallel in the mode-III case. At small Δ , decreasing α increases the velocity, but for large Δ the situation is reversed, with the curves with the smaller α having lower velocity. This is a result of the fact that in our nonlinear potential the bonds never really break and continue to impede the crack's progress. On the other hand, at small Δ , small α weakens the lattice trapping effect, resulting in larger velocity than for larger α .

We still have to address the question of why the curves oscillate at low α for small η , in contradistinction to the mode-III case [16]. It is interesting to consider (see Fig. 2) the bond extension and the bond force for a representative small velocity case, $v = 0.148C_R$. In the piecewise-linear case at small η for both mode I and mode III the underdamping of the backward running waves leads to precracking. This means that the bond extension rises above unity (ε -a=1) before t=0 and then comes back to unity before cracking once and for all [7,8]. For finite α , while there cannot be any precracking (we do not have to postulate in advance when a bond will break), there is a remnant of this tendency. After cracking, the bond extension first rises and then falls to a value close to the critical extension before rising again and then permanently leaving the region close to critical extension. This force oscillation is ultimately what is responsible for the oscillations in the $v(\Delta)$ plot. This situa-



FIG. 2. Bond extension (a) and force (b) along crack surface versus t, for $\alpha = 5$, 15, and 100. In both figures, N=3, $\eta = 0.25$, v = 0.148, and dt = 0.1033.

tion is very different from that in mode III, where for finite α , the bond extension increases monotonically through the critical region.

We checked also the effect of the lattice scale constant a on the curves for the small velocity regime at $\eta = 1$, $\alpha = 15$. We present the data on Fig. 3. We see that there is no dramatic effect of the lattice scale constant in the small velocity regime. There is a backward branch for all a at about the same velocity, with the effect accentuated at large a.

IV. LARGE VELOCITY REGIME

In the large velocity regime, it is again clear that smoothing the potential must make significant modifications to the steady-state solutions, as it is known that in the piecewiselinear model the solution is inconsistent due to the breaking of bonds off the assumed crack surface. This inconsistency was demonstrated both for mode III in a square lattice and mode I in a hexagonal lattice, the case treated herein [7,8,10,19]. In mode III, the inconsistency in the PLL is converted to a linear instability for the finite α model.

We present in Fig. 4(a) large velocity steady-state curves for the case N=10 for several values of α . The effect of changing α is rather dramatic. For $\alpha = 30$, the velocity grows smoothly with Δ . For $\alpha = 100$ the curve still grows but a little bit less smoothly with some small kinks appearing. There is no sign of a maximal velocity, at least for the range of Δ investigated; the maximal velocity, if it exists, is close to the Rayleigh wave speed. As we go lower in α , at α =15, and more significantly at α =7 and α =5, the curves lie significantly below those for the larger α . We see here a maximal velocity far below the Rayleigh wave speed. These changes are a direct sign of the involvement of the additional bonds in the crack progression; in particular, the creation of a local maximum of the velocity as a function of Δ is due to the fact that this involvement grows with Δ and can overcompensate for the natural tendency of the crack to speed up as the applied stress increases.

In the previous figure [Fig. 4(a)], the lattice scale *a* was kept fixed. If we increase *a*, the maximal velocity decreases and is no longer near the Rayleigh wave speed even at larger α . This is presented in Fig. 4(b), where we show the curves

for $\alpha = 15$ for different *a*. Thus the maximum velocity is a strongly varying function of both α and *a*. It will turn out from the linear stability analysis to be presented in the following section that there exists an instability that typically sets in slightly after the maximal velocity point; this means that the instability point will also be a strongly varying function of the parameters. In the experiments, it should be noted, the critical velocity for the onset of instabilities appears to be material dependent [1]. Thus, this strong dependence is an encouraging sign. Also, for large α and *a*, the maximal velocity is very close to the velocity at which the PLL becomes inconsistent, as expected.

V. STABILITY ANALYSIS

Given the steady-state solution, we can proceed to investigate its linear stability. We will focus here on the instability in the high velocity regime; it is clear that in the low velocity regime where the $v(\Delta)$ curve is backward, the solutions are unstable. We take the form

$$\vec{u}_{i}(t) = \vec{u}_{i}^{(0)}(\tau) + e^{\omega x/a} \vec{\delta} u_{i}(\tau),$$
(6)

where τ is the traveling wave coordinate $\tau = t - x/v$, and $\vec{u}_i^{(0)}(\tau)$ is the steady-state displacements for row *i* that we



FIG. 3. Dependence of v/c_R on Δ/Δ_G for a=4, 10, and 75, for $\eta=1$. N=3, dt=0.13, and $\alpha=15$.



FIG. 4. (a) v/c_R versus Δ/Δ_G for N=10, $\eta=0.25$, and a=4. Data are presented for the cases $\alpha=5$, 7, 15, 30, and 100. (b) v/c_R versus Δ/Δ_G for N=10, $\eta=0.25$, and $\alpha=15$. Data are presented for the cases a=4, 10, and 75.

have found in the preceding section. We assume $\delta u \ll 1$ and expand the equations of motion to linear order. Note that $e^{\omega x/a} = e^{\omega v(t-\tau)/a}$; thus, stability requires $\text{Re}(\omega) < 0$, and stable perturbations decay ahead of the crack in the moving frame of reference.

Substituting Eq. (6) into the equations of motion, Eq. (4) we get the linear equations:

$$\frac{d^2}{d\tau_i^2}\vec{\delta}u_i(\tau_i) = \sum_{j \in nn} e^{-\omega(x_j - x_i)/a} \left(\vec{\delta}u_j \cdot \frac{\partial}{\partial \vec{u}_j^{(0)}(\tau_j)}\right) \times (\vec{f}_{\vec{x}_i, \vec{x}_j} + \vec{g}_{\vec{x}_i, \vec{x}_j}),$$
(7)

where f and g are the forces defined in Sec. II and $\tau_i = t - x_i/v$.

To find the possible values of ω we proceed as follows: First we impose the boundary conditions $\delta u_i(\tau) = 0$ for the constrained rows i = -N, N = 1. Also $\vec{\delta}u_i(\tau) = 0$ for any x's that correspond to times before or after the limiting values of au that were selected to build the steady-state solution. Second, we add a normalization condition by setting $\hat{y} \cdot \delta u_1(0)$ =1; there are now as many equations as variables if one includes ω among the latter. Then, as in the steady-state solution, we need to solve a set of (now complex) linear equations with an almost banded structure, where now ω is the variable that destroys the banded structure. To proceed, we choose some (complex) value of ω , and temporarily ignore the actual equation of motion for the y component of $\delta u_1(0)$, replacing it by the aforementioned normalization condition. We then solve the banded system of linear equations by standard techniques. We still have left the equation of motion we have dropped, which serves as a complex mismatch function; the zeros of this mismatch function determine the eigenvalues of ω . We are of course interested in the eigenvalue with largest real part, which is the dominant mode.

When we solve the system of equations for the linear stability analysis, we do not impose any symmetry on the problem; i.e., we use the complete lattice encompassing both sides of the crack. Let us note that any time we find a complex root of ω , with eigenfunctions δu , there is always an equivalent solution generated by the transformation:

Im
$$\omega \to 2 \pi - \text{Im} \omega$$
,
 $\vec{\delta} u_i(\tau) \to \vec{\delta} u_i(\tau)^*$ (even rows), (8)
 $\vec{\delta} u_i(\tau) \to -\vec{\delta} u_i(\tau)^*$ (odd rows).

By even and odd we mean the serial number of the row, for example, row 0 is the first even row. This alternation is due to the shift of a/2 for the x values in neighboring rows.

To test our stability analysis we check whether our linear equations reproduce the time-translation mode that should be always be present with eigenvalue $\omega = 0$, and eigenfunction

$$\vec{\delta}u_i(\tau) = \frac{d}{d\tau} \vec{u}_i^{(0)}(\tau) / \frac{d}{d\tau} [\hat{y} \cdot \vec{u}_1^{(0)}(0)].$$
(9)

Given our discrete $\delta\tau$, we found the eigenvalue of the translation mode to lie not exactly at zero, but still quite small; e.g., typically $\omega \sim 10^{-4}$ for $\delta\tau \sim 0.1$. Note that compared to the case of mode III, the matrix here is larger as we have two components of the displacement field. Furthermore, the critical velocity here is much smaller than the mode-III case, so n_b is larger. It was therefore difficult to work at N=10 as we did for the steady-state solution. The stability matrix is complex as opposed to real, and we do not impose any symmetry on the problem; hence the matrix is now four times bigger than in the steady-state solution case. We chose to work at N=4 to reduce memory consumption. N=4 is of course not good enough to give us quantitative results for a macroscopic system, but we expect the qualitative picture to remain the same.

We focus first on the case of $\alpha = 15$, a = 75, and $\eta = 0.25$. We chose a large value of *a* because the curves are much smoother than for small *a*. In addition, this choice allows for a more meaningful comparison to the results for the critical velocity for the PLL [10]. In Fig. 5(a) we present the steady-state $v(\Delta)$ curve, along with the corresponding curve for N = 10. The overall similarity of the two curves, with an overall shift in velocity of about 5%, lends support to



FIG. 5. (a) The $v(\Delta)$ curve for the case of N=4, $\eta=0.25$, $\alpha=15$, and a=75. We also show the N=10 curve with the same parameters to demonstrate the effect of changing N. (b) and (c) Eigenvalues for the stability problem, with N=4, $\eta=0.25$, $\alpha=15$, and a=75. The calculation was done with 2T+1=1000 and $n_b=20$. Re(ω) is shown in (b), Im(ω) in (c).

our expectation that the N=4 system is qualitatively similar to those with larger N. In Fig. 5(b) we exhibit the real parts of the two most important eigenvalues as a function of Δ , and in Fig. 5(c) the imaginary parts of the eigenvalues. We can see by looking at the steady-state solution curve and the linear stability curve that the point of instability lies slightly after the maximum in the $v(\Delta)$ curve. This is reasonable because both the instability and the maximum are signs of additional bond breaking, and so should occur close together. In the following section we will verify this behavior via direct simulation. The second interesting point is that in all our solutions there is a stable mode that almost does not change with Δ , and there is a quickly varying mode that takes its place as the dominant mode and crosses over to positive Re(ω).

In Fig. 6 we show a typical eigenfunction (for the quickly varying mode) from the linear stability analysis. Figure 6(a) presents the real part of the *y* component of the eigenfunction for the two rows on either side of the crack surface ($y = \pm a\sqrt{3}/4$) as a function of the traveling wave coordinate τ .



FIG. 6. Eigenfunction for stability problem, with N=4, $\eta=0.25$, $\alpha=15$, and a=75: (a) y component, Re $\hat{y} \cdot \vec{\delta}u_{0,1}$; (b) x component, Re $\hat{x} \cdot \vec{\delta}u_{0,1}$. Both figures were done with 2T+1=1000, $n_b=20$, and dt=0.13.



FIG. 7. (a) The $v(\Delta)$ curve for the case of N=4, $\eta=0.75$, $\alpha=15$, and a=75. (b) Re(ω) of eigenvalue for stability problem, with N=4, $\eta=0.75$, $\alpha=15$, and a=75. The calculation was done with 2T+1=1000 and $n_b=20$.

We see that in this case the most unstable eigenfunction is antisymmetric about the crack surface. The eigenfunction decays slowly downstream and very rapidly upstream of the crack tip. In Fig. 6(b) we show the real part of the x component for the two rows about the midline. Here, both upstream and downstream of the crack tip, this component of the eigenfunction decays very slowly. Moreover, it is here symmetric about the midline; the two curves overlap. We note in passing that there is a large class of possible symmetries for the eigenfunctions. In addition to the eigenfunction with antisymmetric y component and symmetric x component, there also exist eigenfunctions with symmetric y component and antisymmetric x component. The symmetry of the imaginary part of the eigenfunctions is also variable, and not connected in any special way to the symmetry of the real part. Thus, while imposing a specific symmetry reduces the size of the matrix to be solved, it means that the calculation has to be repeated for each type of possible symmetry.

We next investigated a higher value of η , $\eta = 0.75$. The steady-state curve, as we see in Fig. 7(a), rises to a maximum and then it comes back around in a lower branch. In the linear stability analysis for this η , Fig. 7(b), we see that the point of instability is exactly at the Δ maximum; all the points on the backward branch are unstable. This is of course a generic feature of propagating systems. At the Δ maximum, the velocity of the solution is indeterminate to linear order, implying a zero mode at this point. This zero mode represents the crossing over into instability of some mode of the system. When we decrease η the backward branch comes back less rapidly, as shown in Fig. 8 for $\eta = 0.5$. Clearly at some smaller η this turnaround vanishes altogether, and we recover the situation at $\eta = 0.25$, where no maximum Δ is seen. The linear stability analysis for the $\eta = 0.5$ reveals that the maximal Δ is no longer the location of the first instability; there is another mode that goes unstable before this point.

We present in Fig. 9(a) a curve of the critical velocity for the onset of the instability as a function of η . Given the correlation we found between the critical velocity for instability and the point of maximal Δ for sufficiently large η , we extended the graph to higher η by calculating the velocity at the Δ maximum. The critical velocity for small η increases with η , as in the piecewise-linear model (where here the critical velocity denotes the onset of inconsistency); even the values are similar. As we increase η further, the critical velocity decreases, again just like the piecewise-linear results, due there to the breaking of a horizontal bond [10]. We further see that the critical velocity extrapolates to a finite value at $\eta = 0$. Thus, there is stable propagation below this critical velocity at zero dissipation in contradiction to the claim of Pla *et al.* [11]. We believe that the instabilities they saw in their simulations were finite amplitude effects engendered by the initial conditions. Indeed, we have generated stable propagating solutions at $\eta = 0$ via direct simulation (see the following section).

Note that in the mode-I case as opposed to the mode-III case [16], Im(ω) at the point of instability is not close to π . Therefore, there is no parallel to the period doubled nonlinear state found in that system. The critical Im(ω) here varies strongly with η . We present in Fig. 9(b) the Im(ω) at the point of instability as a function of η . We see two transitions between dominant modes; somewhere in the range $0.75 < \eta < 0.83$, the critical Im(ω) becomes zero, as it must if the transition is directly connected to the saddle-node behavior of the steady-state curve. At lower η , e.g., $\eta=0.5$, it was about 2.0, as mentioned above. Note that this transition is sharp; for example, at $\eta=0.83$ we still have the mode with Im(ω)=2 but the Im(ω)=0 mode goes unstable first. We also have a transition between dominant modes at $\eta=0.4$,



FIG. 8. The $v(\Delta)$ curve for the case of N=4, $\eta=0.5$, $\alpha=15$, and a=75.



FIG. 9. (a) The critical velocity from the linear stability analysis, normalized by the Rayleigh wave speed, as a function of η . Data are presented for $\alpha = 15$, $\alpha = 75$, and N = 4. (b) Im(ω) of the eigenvalue at the critical velocity as a function of η .

the modes having very different $Im(\omega)$. At higher η we checked the critical $Im(\omega)$ by the direct simulation (again, see the following section) and found that it remains zero.

VI. COMPARISON TO SIMULATION

To check our results, both for the steady-state solution and for the linear stability analysis we have implemented a direct numerical simulation of the initial value problem. These simulations are also useful for investigating the dynamics after the point of instability. Our system is a hexagonal lattice with 2N+1 rows and with a finite number L of mass points in each row. The top and bottom rows are constrained to have a displacement $\pm \Delta \hat{y}$. The initial conditions for the displacement and velocity for the lattice were constructed from the steady-state solution that we already have found, with the initial crack tip placed L/3 from the left edge. We solve the equations of motion by an Euler scheme, taking $\vec{v}_{t+dt} = \vec{v}_t + \sum_{nn} (\vec{f} + \vec{g}) dt$ and $\vec{x}_{t+dt} = \vec{x}_t + \vec{v}_{t+dt} dt$. We measured the velocity of the crack by monitoring the bond extension. The crack was considered to have translated forward when both bonds connecting a given mass point to its neighbors across the midline exceeded the critical extension, ε

=a+1, and the velocity determined from the time Δt between such translation events, $v = a/\Delta t$. This criterion of velocity is of course somewhat arbitrary because cracking in our smooth potential is a reversible process. Note that to produce a true steady-state crack we need to fix a/v so that it is an integer multiple of dt. Otherwise we would introduce oscillations in Δt due to the incommensurability. Via this procedure, we obtained excellent agreement (with four digit accuracy) between the simulated and calculated results for the $v(\Delta)$ relationship. As we increase Δ , we can follow the $v(\Delta)$ curve and we obtain from the simulation all the points of the curve including those around the v maximum. When we get exactly to the point of instability as predicted from the linear stability analysis, additional bond breaking occurs, and the velocity in the simulation drops down below the steady-state curve.

The numerical simulation can provide a strong check on the details of the stability analysis. We can measure the eigenfrequency by perturbing one mass point on the lattice in the vicinity of the crack tip, and measuring the subsequent time development of the perturbation. We track the bond extension of the breaking bond at the moment of cracking. Because of the finiteness of dt this is always somewhat



FIG. 10. (a) Bond extension at breaking as a function of position x along the crack surface. Here N=4, $\eta=0.25$, $\alpha=15$, and a=75. $\Delta/\Delta_G=1.6305$, dt=0.125. The fitted curve is $1.021+0.01e^{-0.025x} \sin(0.565x+3.5)$. (b) Bond extension at breaking as a function of position x after the point of instability for N=4, $\eta=0.25$, $\alpha=15$, and a=75.



FIG. 11. (a) The lattice showing the broken bonds for N = 20, $\eta = 0.1$, $\alpha = 15$, a = 75. $\Delta/\Delta_G = 1.875$. (b) $\Delta/\Delta_G = 2.1875$. (c) $\Delta/\Delta_G = 3.125$.

larger than ε . This value oscillates, decaying in the stable regime, and growing in the unstable regime. The data can then be fit to a form $A e^{\omega x/a}$ and ω compared to that produced by the linear stability analysis. In Fig. 10(a) we can see the results for the crack tip and if we perform the fitting, the eigenvalue found here lies quite close to the eigenvalue found in the linear stability analysis, $\omega = -0.0243 + 0.5813i$.

If we instead look at a point in the unstable regime, these oscillations look like those presented in Fig. 10(b). The oscillating part in the simulation fits well to the imaginary part of the linear stability eigenvalue. We note that throughout the unstable regime we can detect the presence of the square of the unstable mode, giving rise to an additional nonlinear mode with a growth rate two times the value of the real part of the eigenvalue.

When we increase Δ past the point of instability, the actual crack dynamics of the problem become increasingly complex. Direct numerical simulation can still be used to



FIG. 12. The lattice showing the broken bonds for N=20, $\eta=1.2$, $\alpha=15$, a=75. $\Delta/\Delta_G=2.578$.

study crack development. We performed such a study using N = 20. We see in Fig. 11 three examples of what can happen in mode-I cracking after the point of instability, for increasing values of Δ , all for the case of small dissipation. For the smallest Δ , the crack tip meanders up and down off the midline. For larger Δ , the crack starts to bifurcate [Fig. 11(b)], and at the highest Δ shown bifurcates many times and creates a very complex cracking picture [Fig. 11(c)]. In all three of these small η cases, the crack arrests eventually. At large η , such as $\eta = 1.2$ and higher (see Fig. 12) the picture is very different. Just above the point of instability the crack bifurcates, with the two daughter tips propagating close to the edges of the system. These kinds of behavior appear to be very different from that seen in experiment, where there is a main crack propagating more or less straight in addition to daughter cracks on either side.

In the mode-III case, a crucial point that emerged from the detailed linear stability study was that the additional cracking bonds were always created behind the crack tip. There, the instability did not divert the main crack, but merely slowed it down temporarily as energy was diverted to create the side-branches [16,20]. Here in mode-I cracking, however, after the point of instability the crack tip itself changes direction and the crack either bifurcates or deviates from the center.

VII. SUMMARY AND DISCUSSION

We have herein studied the steady-state crack, calculating the $v(\Delta)$ curves both for small and large driving, and for small and large dissipation. For small driving with small dissipation, we obtained oscillating curves for all finite α , indicating a substantial velocity gap at small velocity. At large dissipation, the curves are smooth, and vary significantly with α . At large driving, the maximal velocity exhibits a strong dependence on the smoothness and the value of a/ε . For large η , there is also a maximal driving as the curve bends back forming a second branch, and beyond which no steady-state solution exists. For all η , the steady-state solution undergoes a linear instability. For large η , this occurs at the maximal driving, and is a real instability. For small η , the instability set in slightly after the maximal velocity, and is a Hopf bifurcation. The velocity at the onset of instability initially increases with η , reaches a maximum at $\eta \simeq 2$ and thereafter decreases for larger η . We note that the strong variation of the velocity at the onset of instability with the microscopic parameters does not accord with the naive expectations based on the Yoffe continuum calculation [21] of a change in the direction of maximal stress at some universal velocity, independent of the microscopic dynamics. For the piecewise-linear model and small dissipation, where the process zone is very small, the onset of instability is close to the Yoffe prediction [10]. This is reasonable, since then the stresses at the crack tip cannot deviate too far from the continuum stress fields due to the small process zone. In general,

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however, this does not have to be the case, and as we have seen, the Yoffe criterion can be far from being quantitatively accurate. It also is not accord with the ansatz of Eshelby based on energy considerations [22]. We also compared our steady-state solution and linear stability analysis to direct numerical simulations, obtaining excellent agreement.

We plan to extend our work to the case of biaxial loading, where the material is strained in both the x and y direction. This phenomena describes, for example, a popping of a balloon as described in Ref. [23]. We also plan to investigate further the postinstability dynamics. Finally, we hope to use the recently introduced [24] continuum regularization of tip dynamics (based on the phase-field method) to unravel the role that the lattice structure has in determining the form of the allowed instabilities.

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